

FIG. 1

Client Module  
122

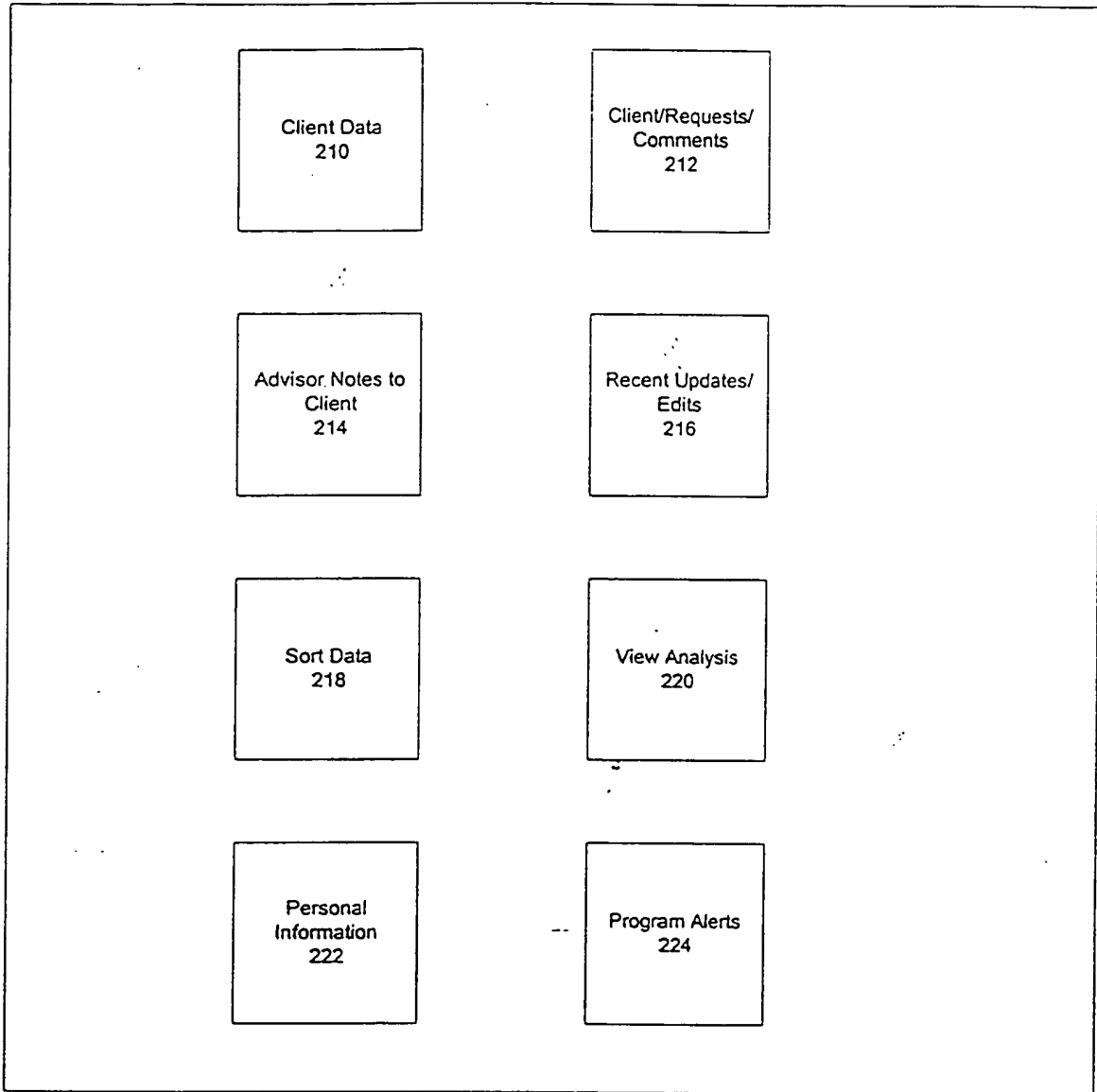


FIG. 2

**Advisor Module**  
**124**

```
graph TD; A[Select Client 310] --> B[Select Activity 312]; B --> C[Results of Action 314]; C --> D[Update Client History 316];
```

The diagram consists of four rectangular boxes arranged in a 2x2 grid. Each box contains text describing a step in a process. The steps are: 'Select Client 310' (top-left), 'Select Activity 312' (top-right), 'Results of Action 314' (bottom-left), and 'Update Client History 316' (bottom-right). Arrows indicate a sequential flow from top-left to top-right, then to bottom-left, and finally to bottom-right.

**Select Client**  
**310**

**Select Activity**  
**312**

**Results of Action**  
**314**

**Update Client History**  
**316**

॥ ॐ नमो भगवते वासुदेवाय ॥

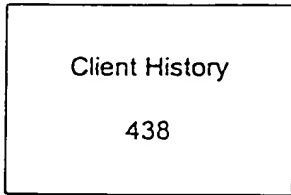
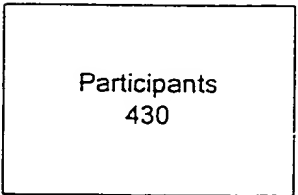
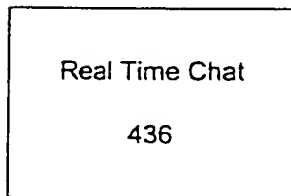
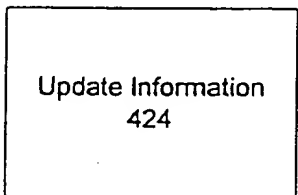
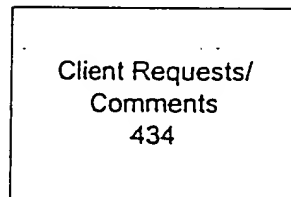
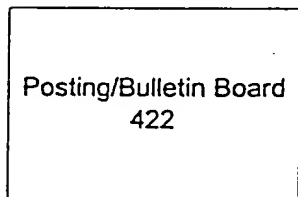
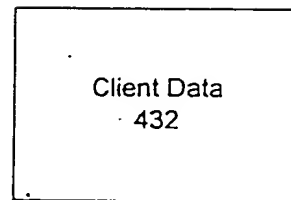
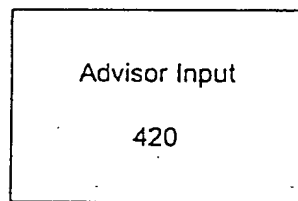
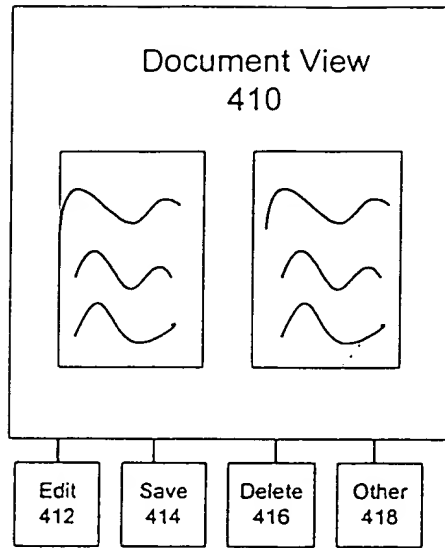


FIG. 4

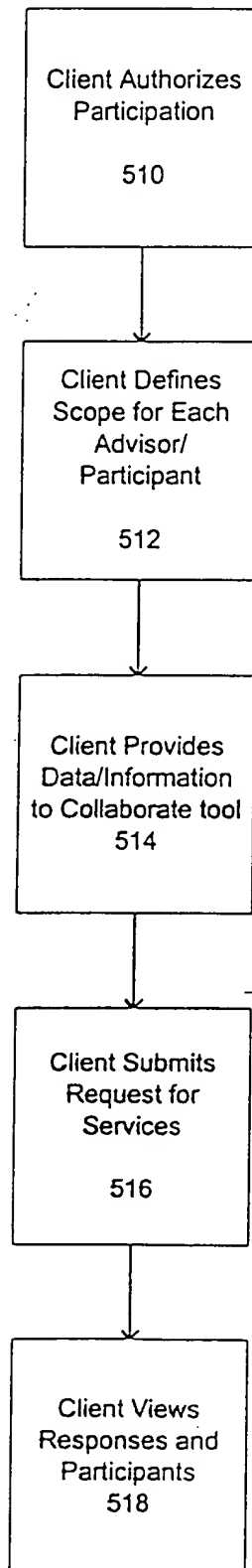
[illegible]

FIG. 5

Advisor Seeks/Receives  
Authorization From  
Client to Participate  
610



Access Collaboration  
Tool  
612



View Client's Requests  
614



View Client Data and  
Other Data Within  
Defined Scope



Provide Information/  
Analysis/Services

618



View Participate with  
Other Advisors and  
Authorized Entries  
620

— — —

**Please Fill in the following (all are required). When you are done, press "Next" to continue.**

- ☐ I am an **advisor** and would like to join the next generation of financial advisors.  
☐ I am a **client** and would like to build my own powerful financial advisory team.

**Personal Information about yourself:**

	First Name
	Last Name
	Address
	City
	State
	Zip
	Phone Number
	Email Id

Next ~ 704

FIG. 7

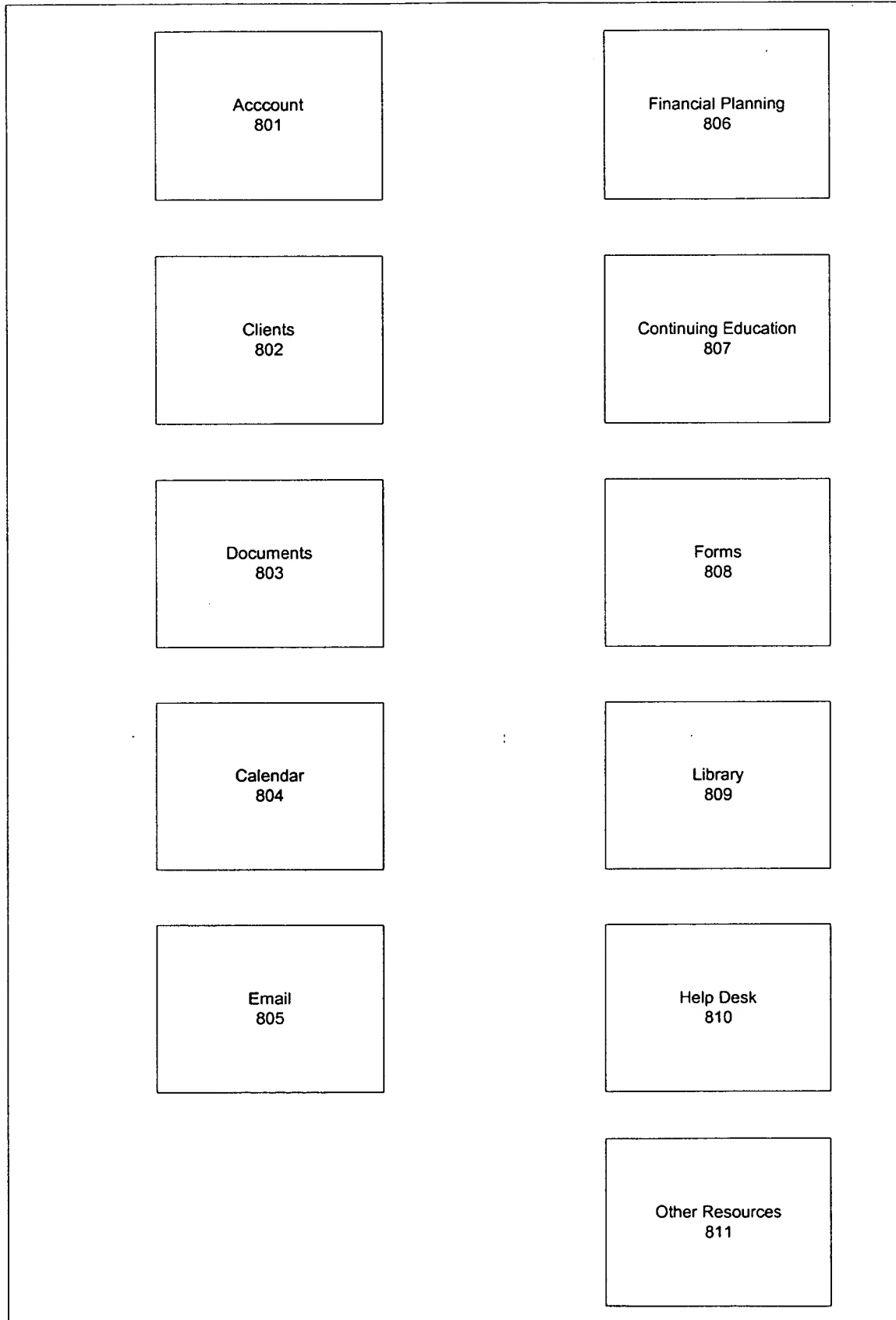


FIG. 8



FIG. 9

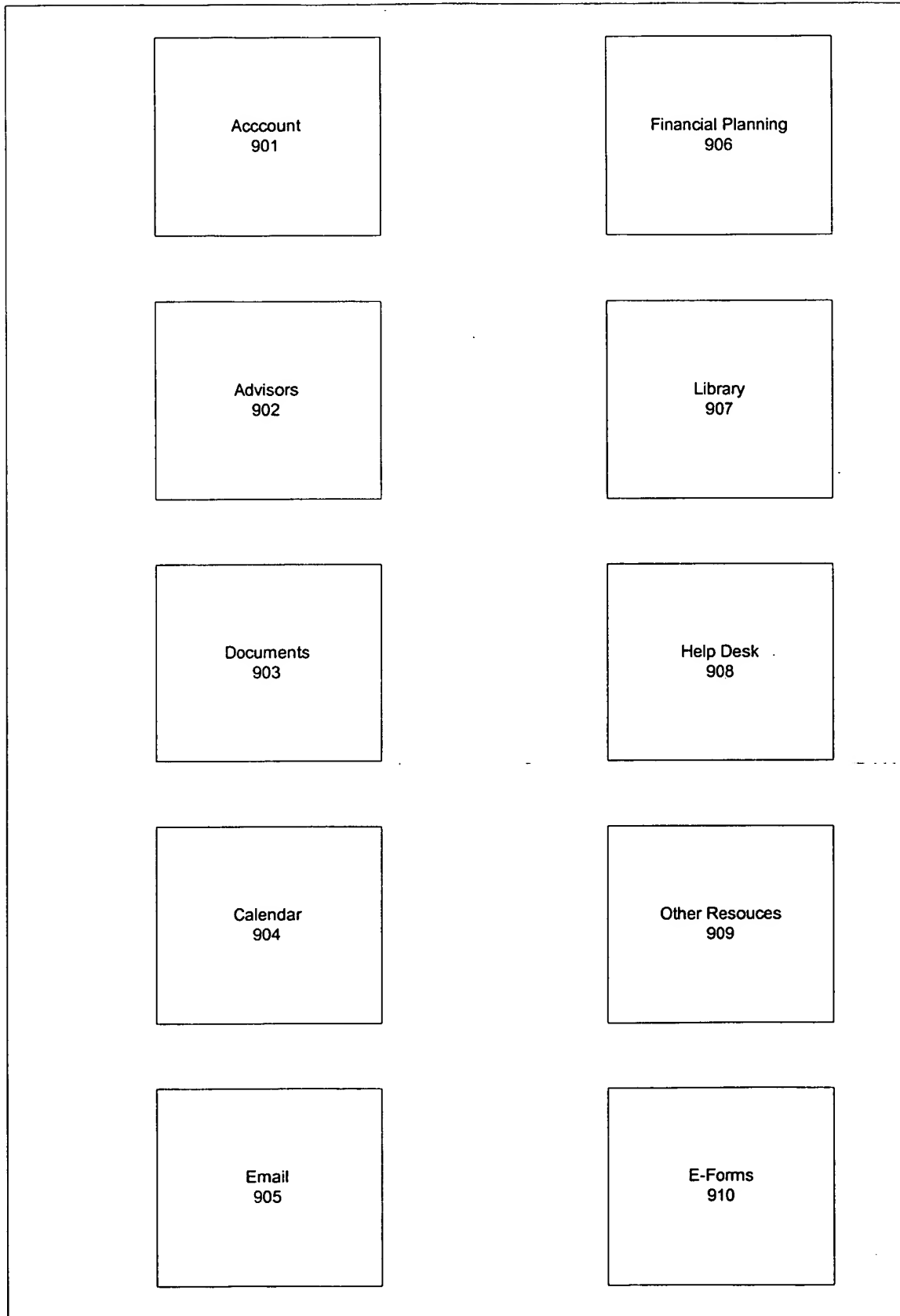


FIG. 9

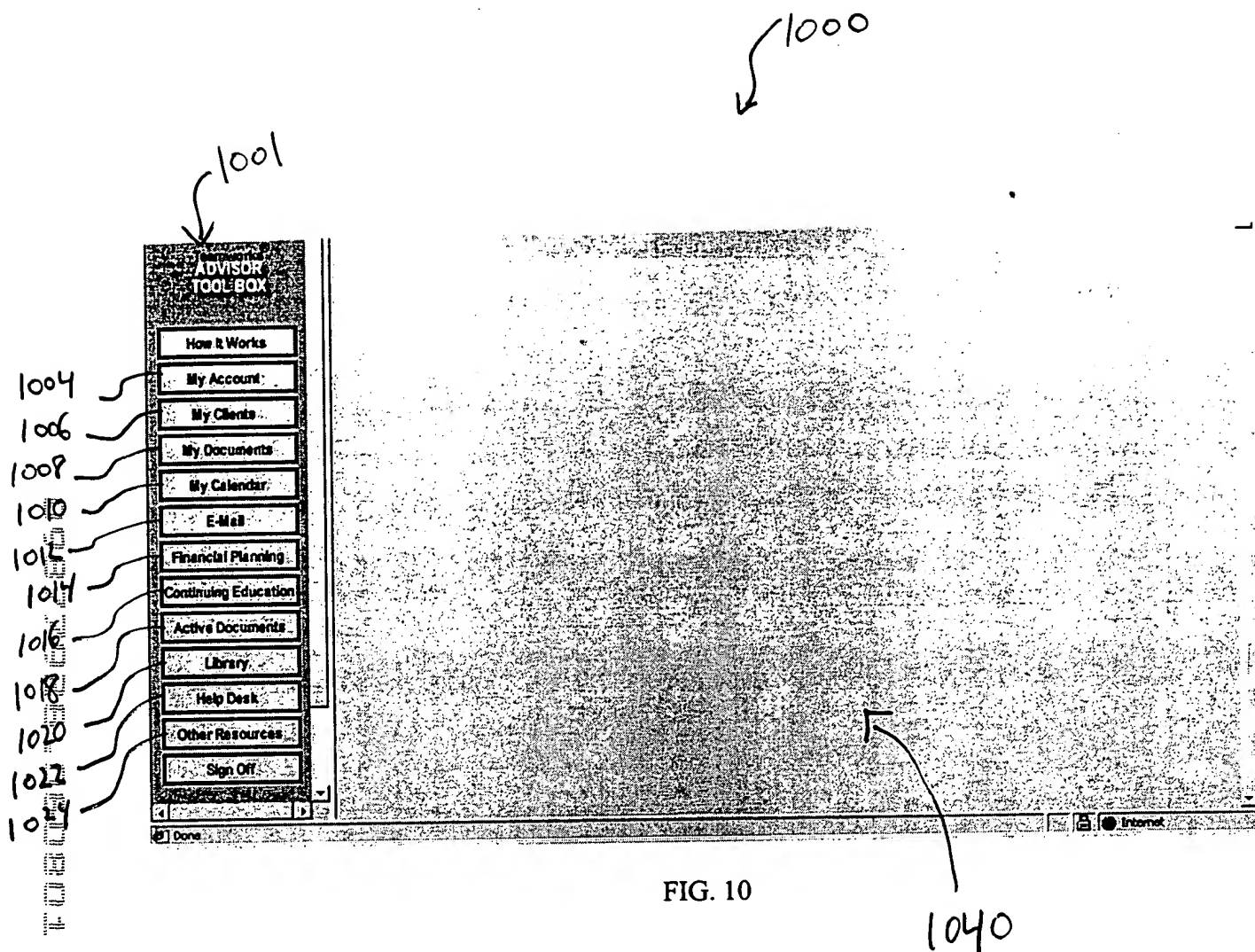
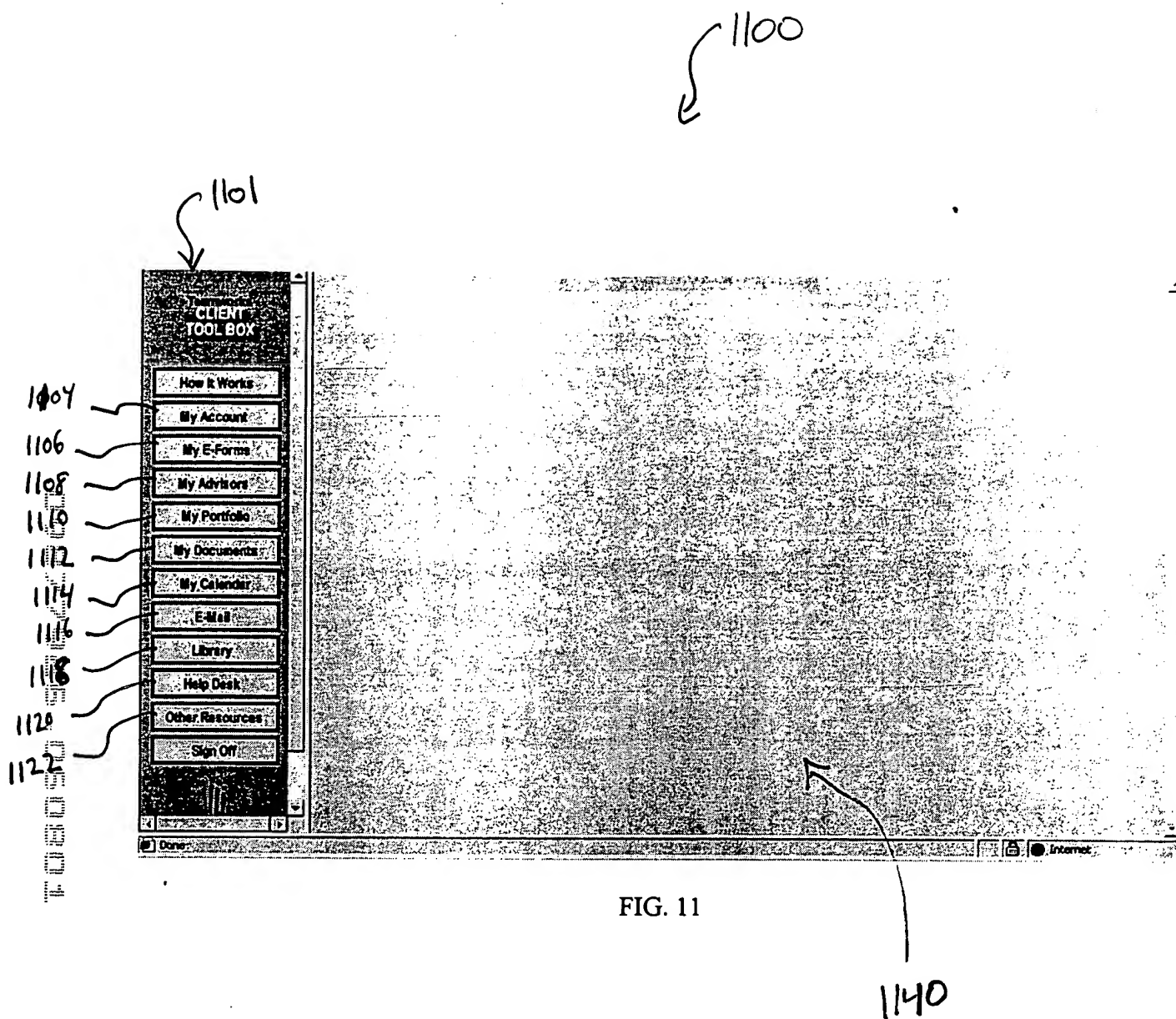


FIG. 10



09:27:06:060304

1200

1201 1202 1203 1250

ADVISOR TOOL BOX

How It Works

My Account

My Clients

My Documents

My Calendar

E-Mail

Financial Planning

Continuing Education

Active Documents

Library

Help Desk

Other Resources

Sign Off

My Clients

PHelp

Select	Login ID	Name	Access	Registered On	Last Signed On
<input type="checkbox"/>	1234	Suzanne Cuccurullo	R	5/1/2001 1:31:21 PM	5/1/2001 1:40:25 PM
<input type="checkbox"/>	mkende	Michael Kende	RWD	3/13/2001 6:04:13 PM	
<input type="checkbox"/>	rmac	Robert MacDonald	RWD	3/13/2001 6:02:05 PM	
<input type="checkbox"/>	paulrobb	Paul Robb	R	2/13/2001 5:19:10 AM	5/15/2001 11:28:42 AM
<input type="checkbox"/>	brosen67	Brian S Rosenbloom	R	5/18/2001 11:14:03 AM	5/19/2001 9:03:47 PM

1211 1213 1214 1215 1216 1217

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

Internet

FIG. 12

[illegible]

↑  
1304

FIG. 13

09877926 06094

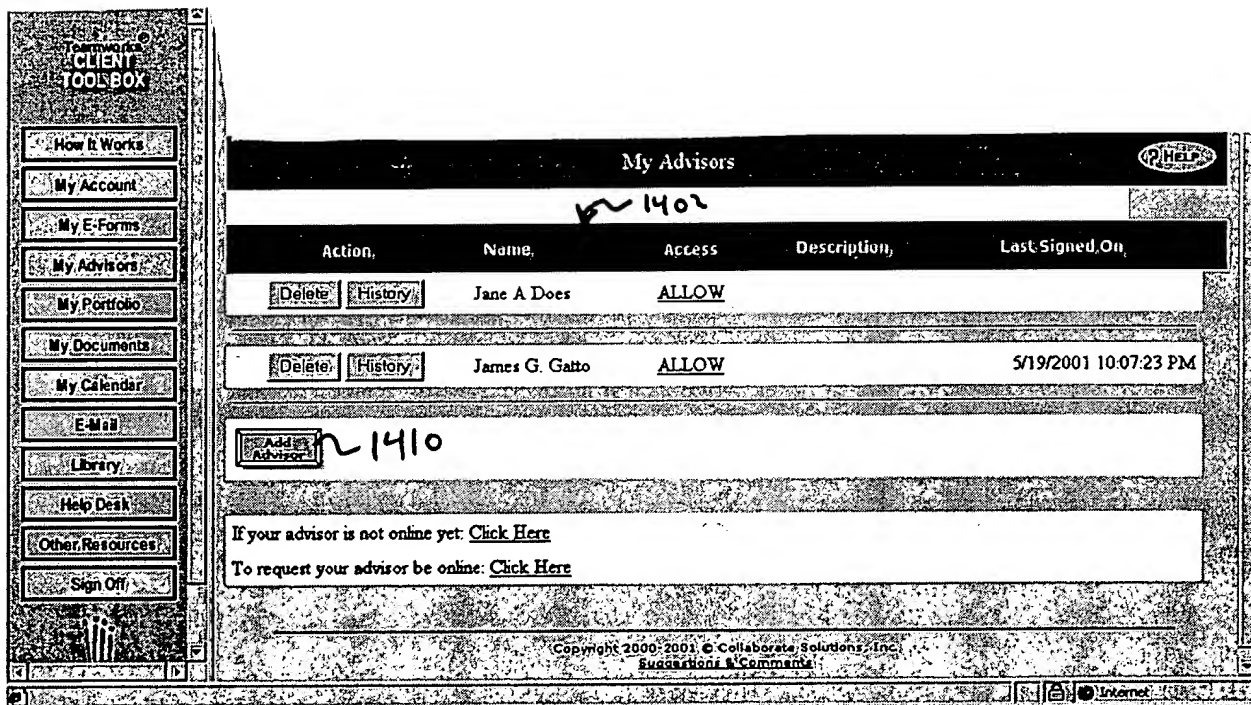


FIG. 14

Name Search:

Adviser's Name

1502

Specialty (select one or more optional)

☐

Attorney

☐

Accountant

.

.

☐

Financial Planner

State (optional)

City (optional)

1503  
1504

1505

Search

1506

Specialty Search

Specialty (select one or more)

☐

Attorney

☐

Accountant

.

.

☐

Financial Planner

State (optional)

City (optional)

1507  
1508

1509

Search

1511

FIG. 15

FORM 990 99022850

1600  
↙

Name	Specialty	State	City	Description	Action
John Doe	Attorney	DC	Washington	AV Rated	ADD ~1602
Jane Does	Accountant	DC	Washington	CPA	ADD ~1602
John Doe	Financial Planner	DC	Washington		ADD ~1602

Done



103030 9202250

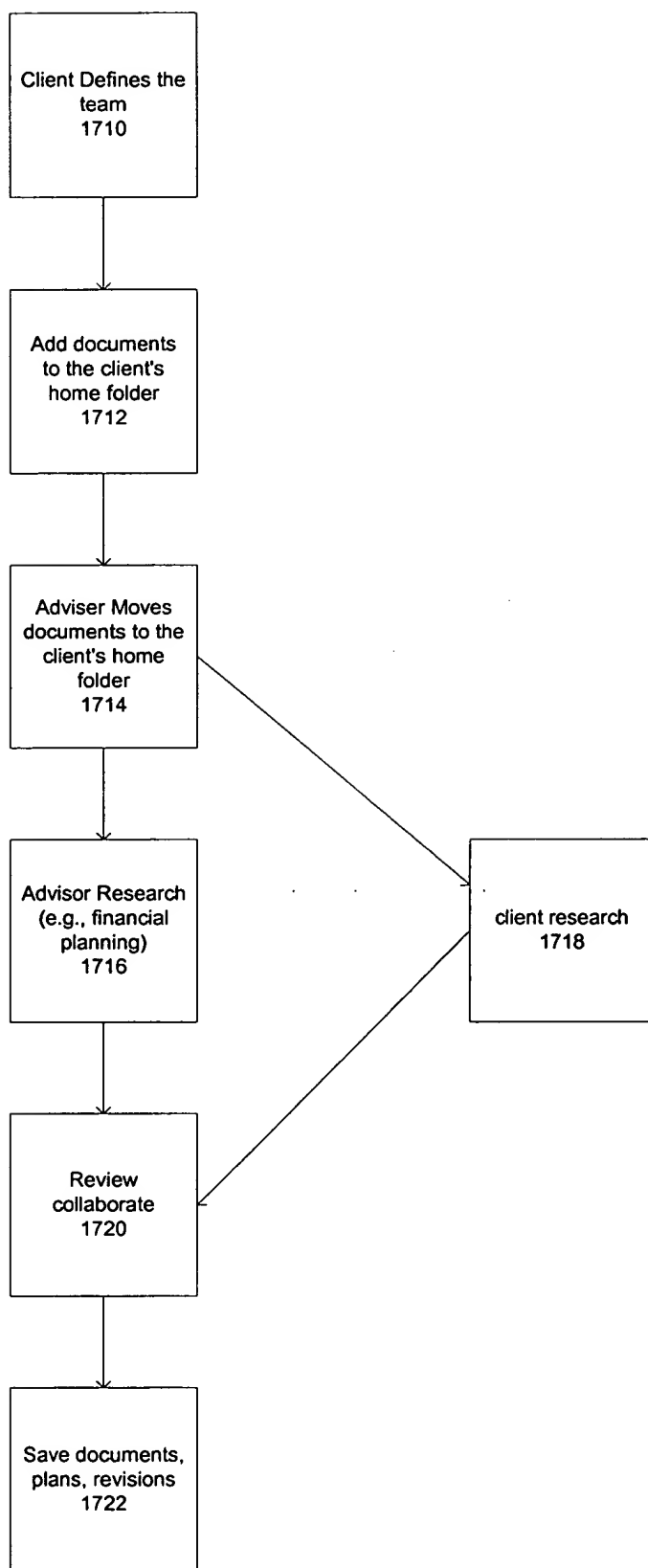


FIG. 17

Network Client Tool Box

How & Works

My Account

My E-Forms

My Advisors

My Portfolio

My Documents

My Calendar

E-Mail

Library

Help Desk

Other Resources

Sign Off

1801 1802 1803 1804 1805 1806 1807

New Folder New Document Delete Lock Unlock Properties Search HELP

Folders for: Rosenbloom, Brian S

My Documents ← Currently Open Folder (Contents displayed below)

Select	File Name	Version	Size	Access	Created	Last Changed	Last Changed By	Locked By
<input type="checkbox"/> <input checked="" type="checkbox"/>	folder 1			RWD	5/18/2001 11:14am			
<input type="checkbox"/> <input checked="" type="checkbox"/>	test2	3	1	RWD	5/19/2001 8:58pm	5/19/2001 9:01pm	Gatto, James	
<input type="checkbox"/> <input checked="" type="checkbox"/>	test2a	1	8	RWD	5/19/2001 9:02pm	5/19/2001 9:02pm	Gatto, James	

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

Done

Internet Explorer

1800

1801

1802

1803

1804

1805

1806

1807

1850

1852(2)

- 1854(a)

1854(b)

1852(6)

**Add Document**

Folder to add document: My Documents

Select document to upload:

1902 → [Select File] [Remove File]

Document Name: [ ] ← 1912

Email notification? ☒ ← 1989

Comments: [ ]

1914 ↓

1990 →

OK Cancel

1910

1912

1989

1990

1914

OK Cancel

1900

2000

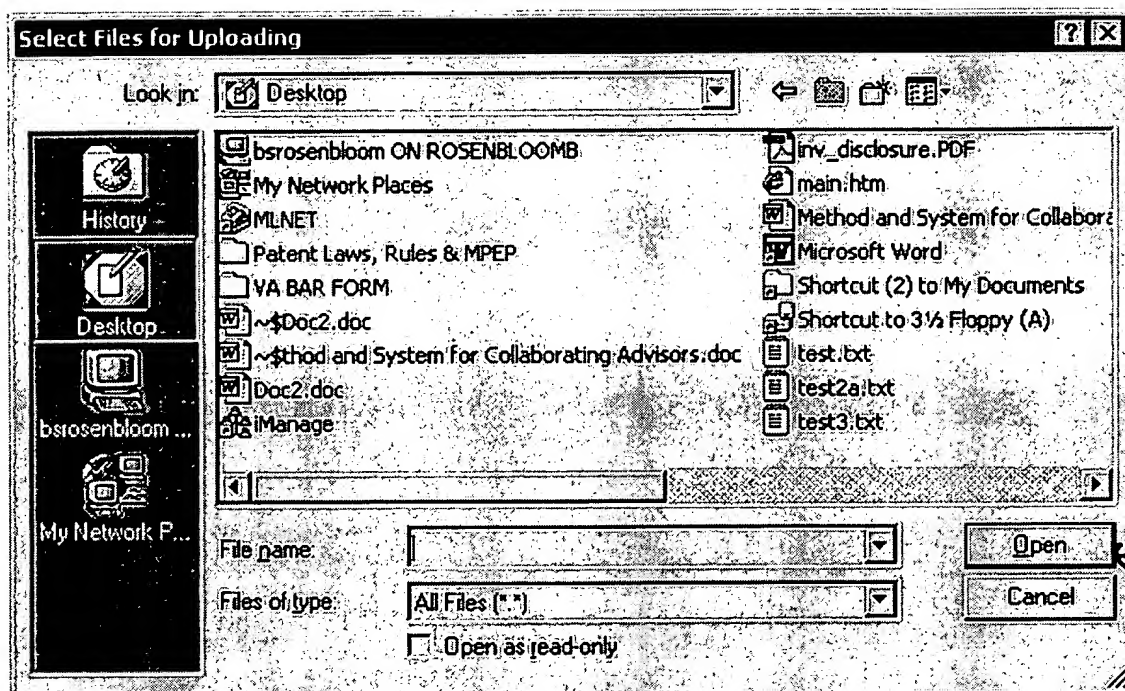


FIG. 20

0937026-06084  
108090-926/2860

2100

**Create Folder**

2102

Create Folder In My Documents

Folder Name:

Comments:

OK Cancel

HELP

FIG. 21

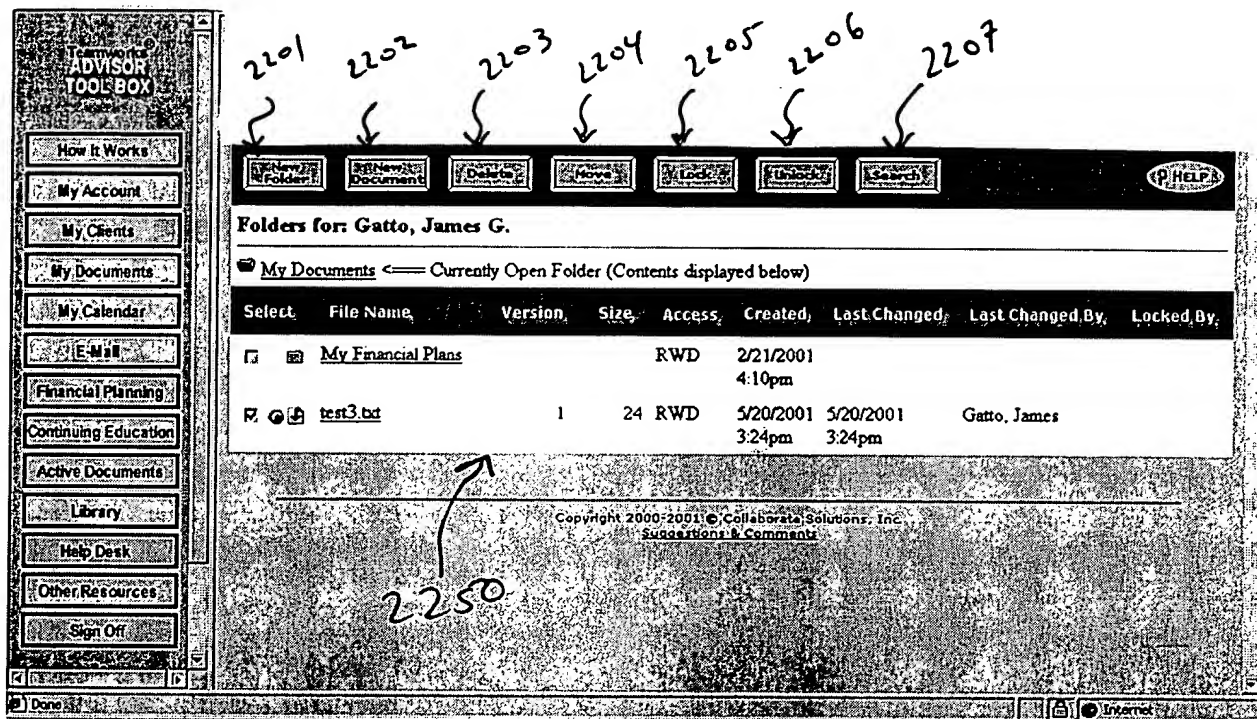


FIG. 22

## Move Document(s)

HELP

Remember. Make sure the document you are moving is in a format that can be viewed by the intended recipients (see Help for more).

Select a folder to move document(s) to:

- Advisor: Gatto, James (jgatto)
  - Folder: My Documents
  - Folder: My Financial Plans
- Client: Kende, Michael (mkende)
  - Folder: My Documents
- Client: MacDonald, Robert (rmac)
  - Folder: My Documents

Move

Cancel

Done

Internet

FIG. 23





2500

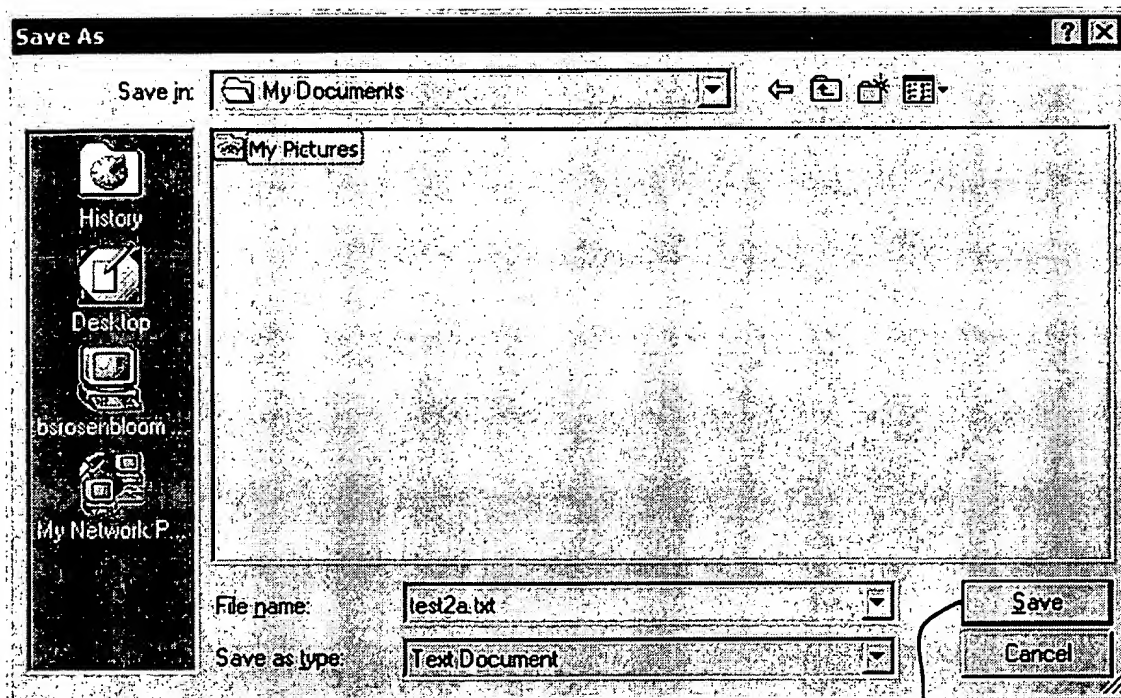


FIG. 25

2502

FIG. 25

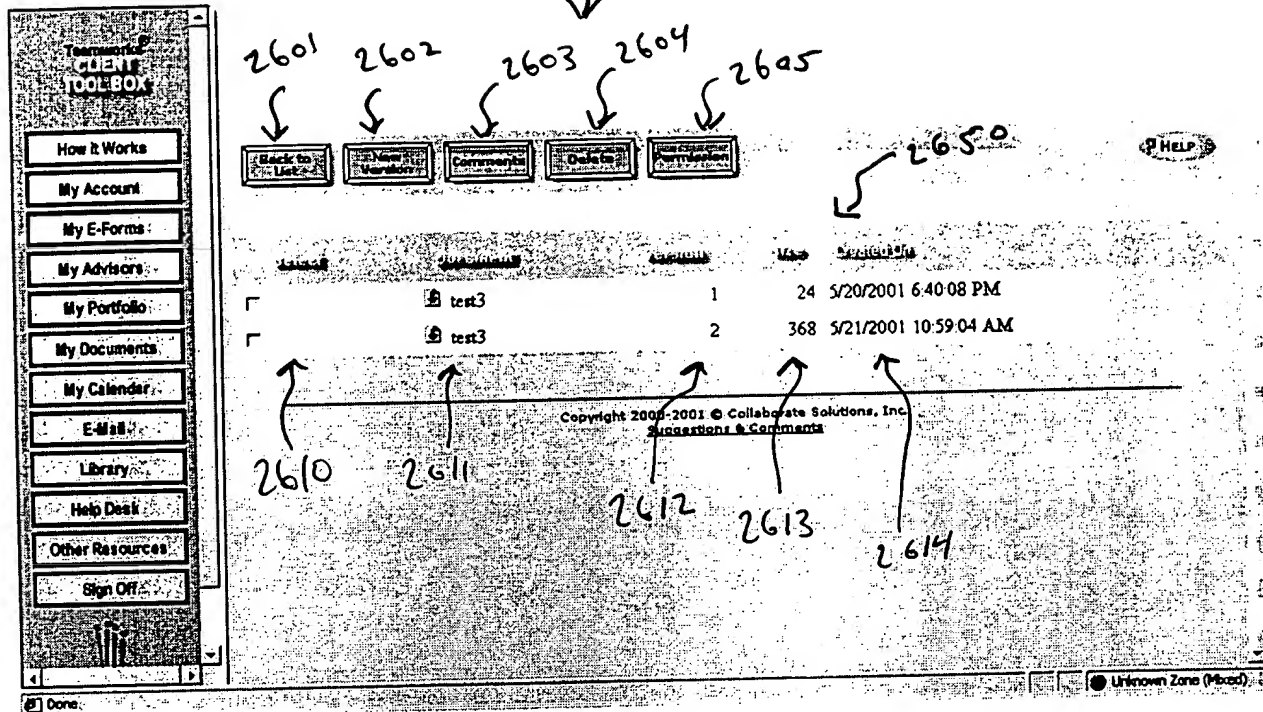


FIG. 26

# Add Document Version

Document Name: test3

Select document to upload:

Files	Size
Select File: Remove File:	

Email notification? ☐

Comments

OK Cancel

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

Done

Internet

FIG. 27

Document  
Comments

HELP

Document Name test3

Comments

Save

Back

5/21/2001  
10:59:04 AM

Brian S  
Rosenbloom

Document new version created

5/20/2001  
6:40:08 PM

Brian S  
Rosenbloom

Document initially created

Done



Internet

FIG. 28

20250726 09:26:00

2900

2901 2902 2903 2904 2905 2906

2951 2952

2950

How It Works  
My Account  
My Clients  
My Documents  
My Calendar  
E-Mail  
Financial Planning  
Continuing Education  
Active Documents  
Library  
Help Desk  
Other Resources  
Sign Off

New Folder New Document Delete Move Lock Unlock Search HELP

Folders for: Rosenbloom, Brian S Note: You are now viewing a Client area

My Documents ← Currently Open Folder (Contents displayed below)

Select	File Name	Version	Size	Access	Created	Last Changed	Last Changed By	Locked By
<input type="checkbox"/>	folder 1			R	5/18/2001 11:14am			
<input type="checkbox"/>	test2	3	1	RWD	5/19/2001 8:58pm	5/19/2001 9:01pm	Gatto, James	Rosenbloom, Brian
<input type="checkbox"/>	test2a	1	8	RWD	5/19/2001 9:02pm	5/19/2001 9:02pm	Gatto, James	Rosenbloom, Brian
<input type="checkbox"/>	test3	4	367	RWD	5/20/2001 6:40pm	5/21/2001 3:16pm	Gatto, James	

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

Done Internet

FIG. 29

2600 9262360

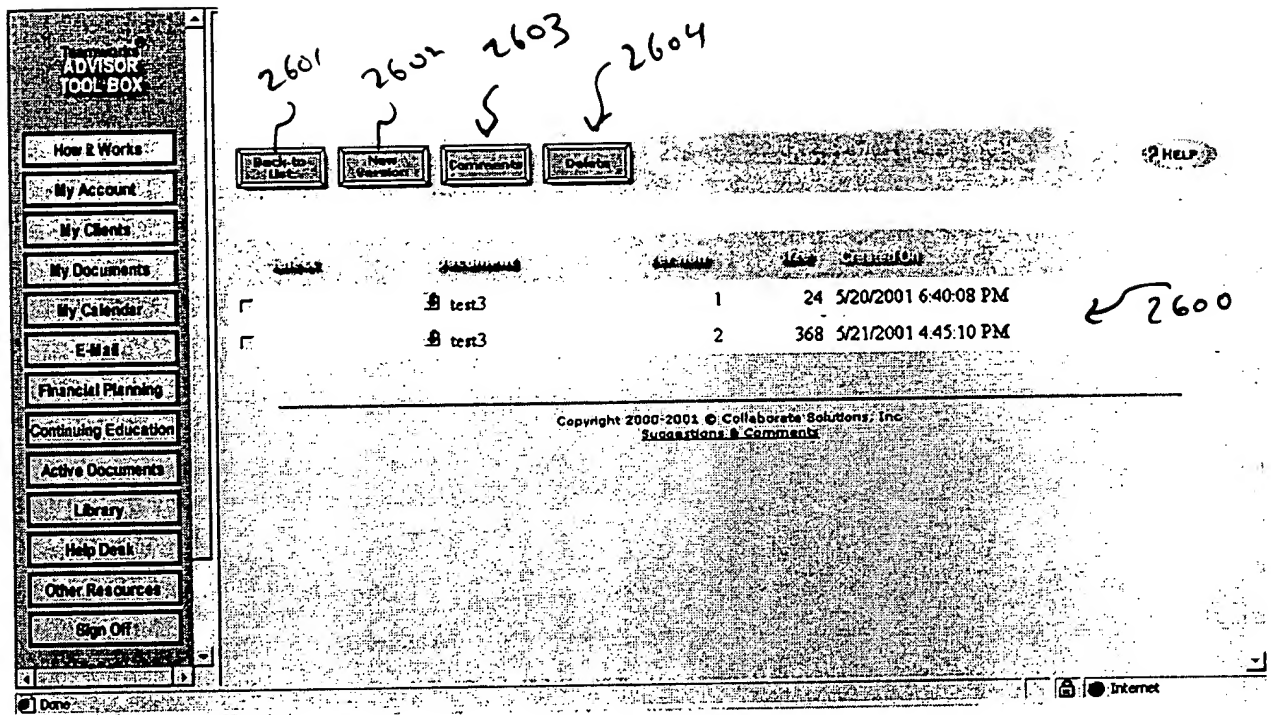


FIG. 30

Thomson Reuters  
CLIENT TOOL BOX

How It Works

My Account

My E-Forms

My Advisors

My Portfolio

My Documents

My Calendar

E-Mail

Library

Help Desk

Other Resources

Sign Off

3102

3104

3106

Delete This Account

My Account

Delete This Account

HELP

### My Account Information

Name: Brian

Email: brian@mail.com

Password:

Confirm Password:

Address:

City:

State:

Postal Code:

3106

**Q**uestions  
Answers  
Exercises  
Problems  
References  
Index



FIG. 32



3302

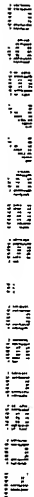


FIG. 33

COPIES OF THE REPORT

FIG. 34

FIG. 34

3500

CLIENT TOOLBOX

- How It Works
- My Account
- My E-Forms
- My Advisors
- My Portfolio
- My Documents
- My Calendar
- E-Mail
- Library
- Help Desk
- Other Resources
- Sign Off

My Forms

Action	Name	Description	Author	Create Date	Last Modified
<a href="#">Edit</a> <a href="#">Delete</a>	Notice of Withdrawal Rights	Notice of Withdrawal Rights	James G. Gatto	5/22/2001 12:52:36 PM	5/22/2001 12:52:36 PM

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

3503  
3502  
3501  
3504  
3505  
3506  
3507

Done Unknown Zone (Mixed)

FIG. 35

3600

CLIENT TOOL BOX

How It Works

My Account

My E-Forms

My Advisors

My Portfolio

My Documents

My Calendar

E-Mail

Library

Help Desk

Other Resources

Sign Off

My Forms

Form Name

Form Description

Name of trust

Beneficiary Name

Husband First Name

Husband's Last Name

Wife First Name

Wife Last Name

Gender of Insured/Grantor

Trustee name

Number of trustees

Co-Trustee name

Notice of Withdrawal Rights

Notice of Withdrawal Rights

male

female

entity

one

multiple

Save Back

Done

Unknown Zone (Mixed)

00077926 06074

3602

FIG. 36

3500

CLIENT TOOL BOX

How It Works

My Account

My E-Forms

My Advisors

My Portfolio

My Documents

My Calendar

E-Mail

Library

Help Desk

Other Resources

Sign Off

My Forms

2 HELP

Action	Name	Description	Author	Create Date	Last Modified
Delete	Notice of Withdrawal Rights	Notice of Withdrawal Rights	James G. Gatto	5/22/2001 12:52:36 PM	5/22/2001 1:12:20 PM
Done					

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

3702

3503

Done

Unknown Zone (Mixed)

FIG. 37

3400

ADVISOR TOOLBOX

How It Works

My Account

My Clients

My Documents

My Calendar

E-Mail

Financial Planning

Continuing Education

Active Documents

Library

Help Desk

Other Resources

Sign Off

Standard Form Types

Help

Action	Name	Description	Owner	Create Date	Last Modified
Delete					
Edit	Notice of Withdrawal Rights	Notice of Withdrawal Rights	Brian S Rosenbloom	5/22/2001 12:52:36 PM	5/22/2001 1:15:20 PM
File					
Add	Notice of Withdrawal Rights		Suzanne Circunulla		

Copyright 2000-2001 © Collaborate Solutions, Inc.  
Suggestions & Comments

3801 3802

FIG. 38

Additional Modules 3901

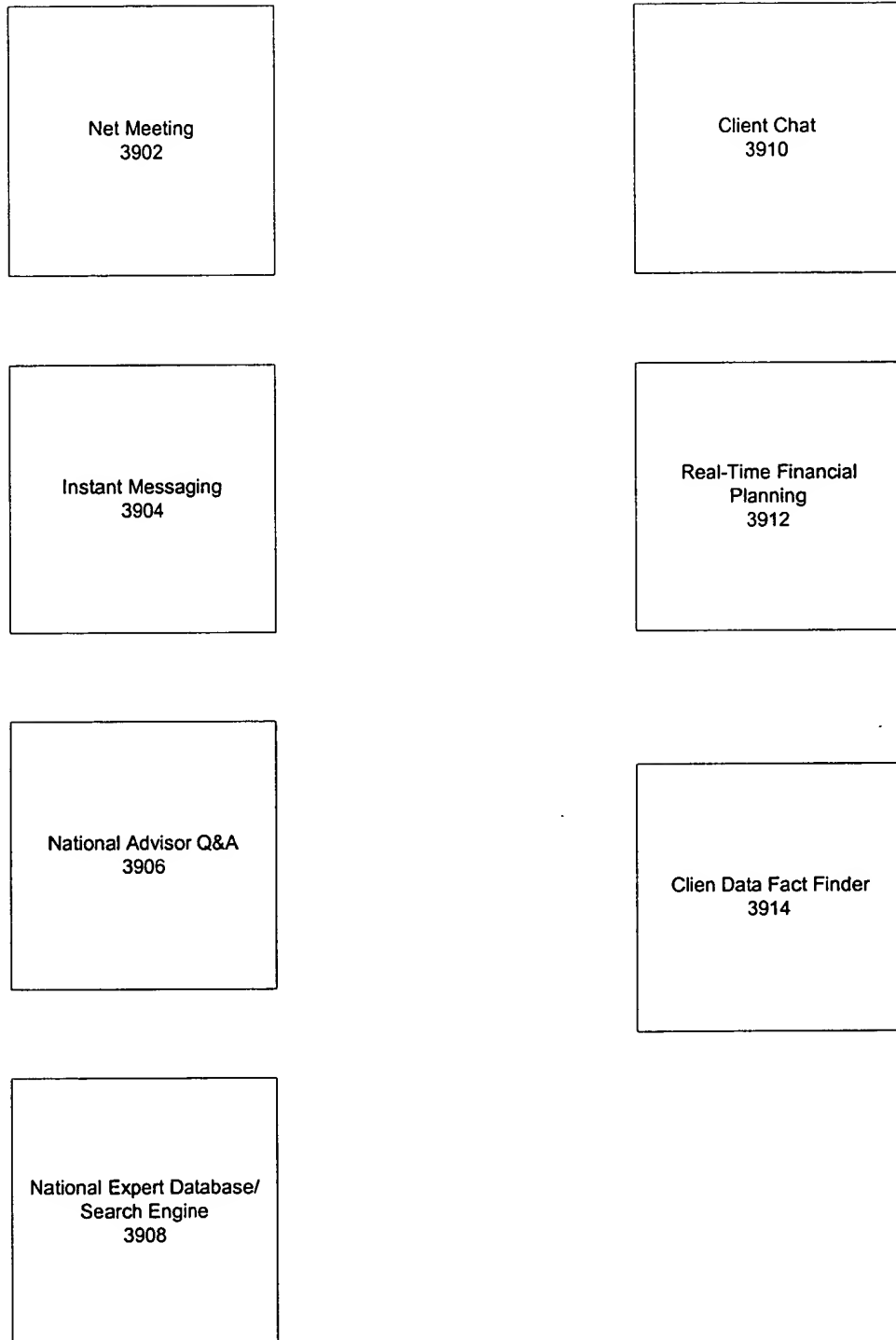


FIG. 39

100

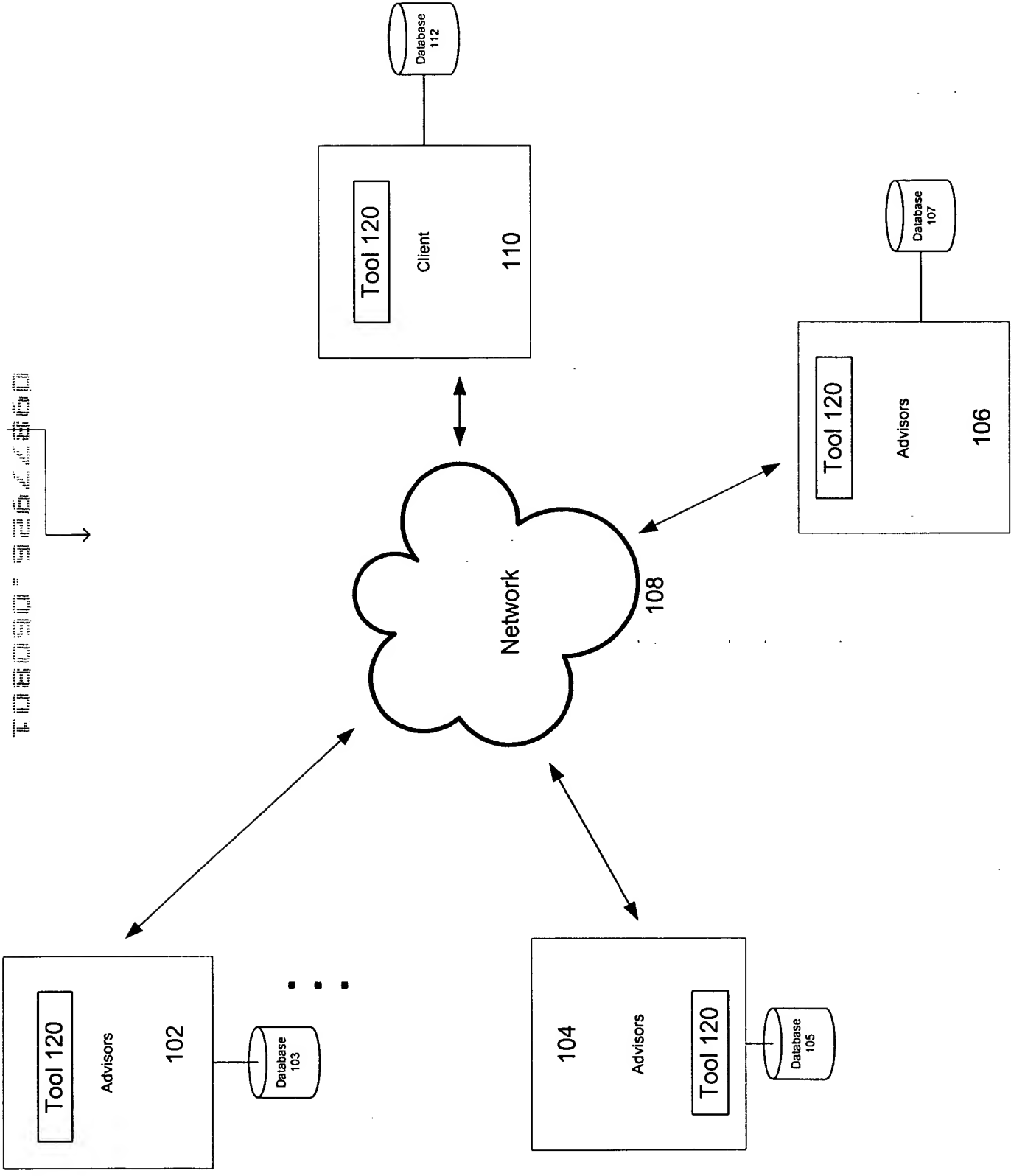


FIG. 40



FIG. 41

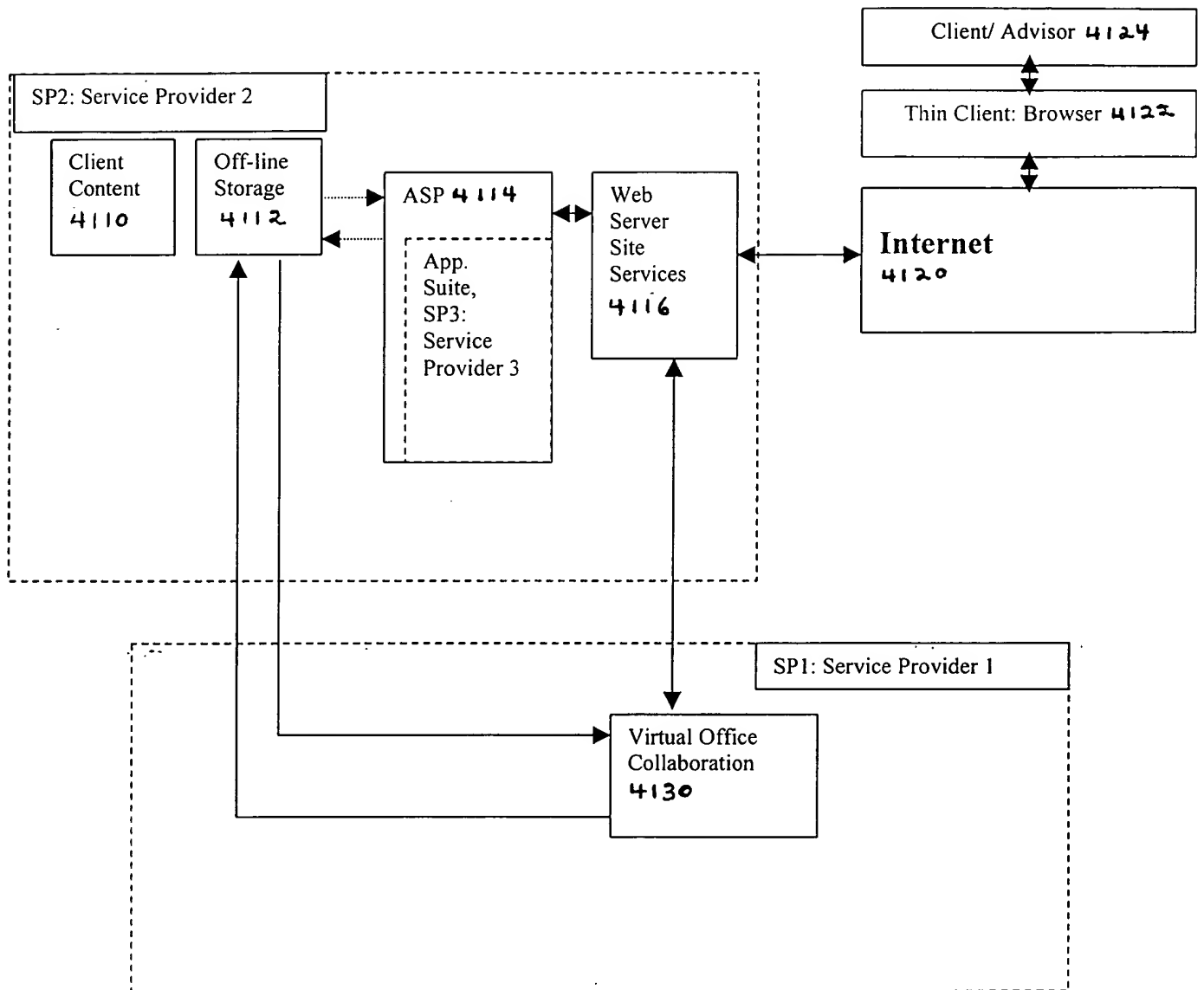


FIG. 41

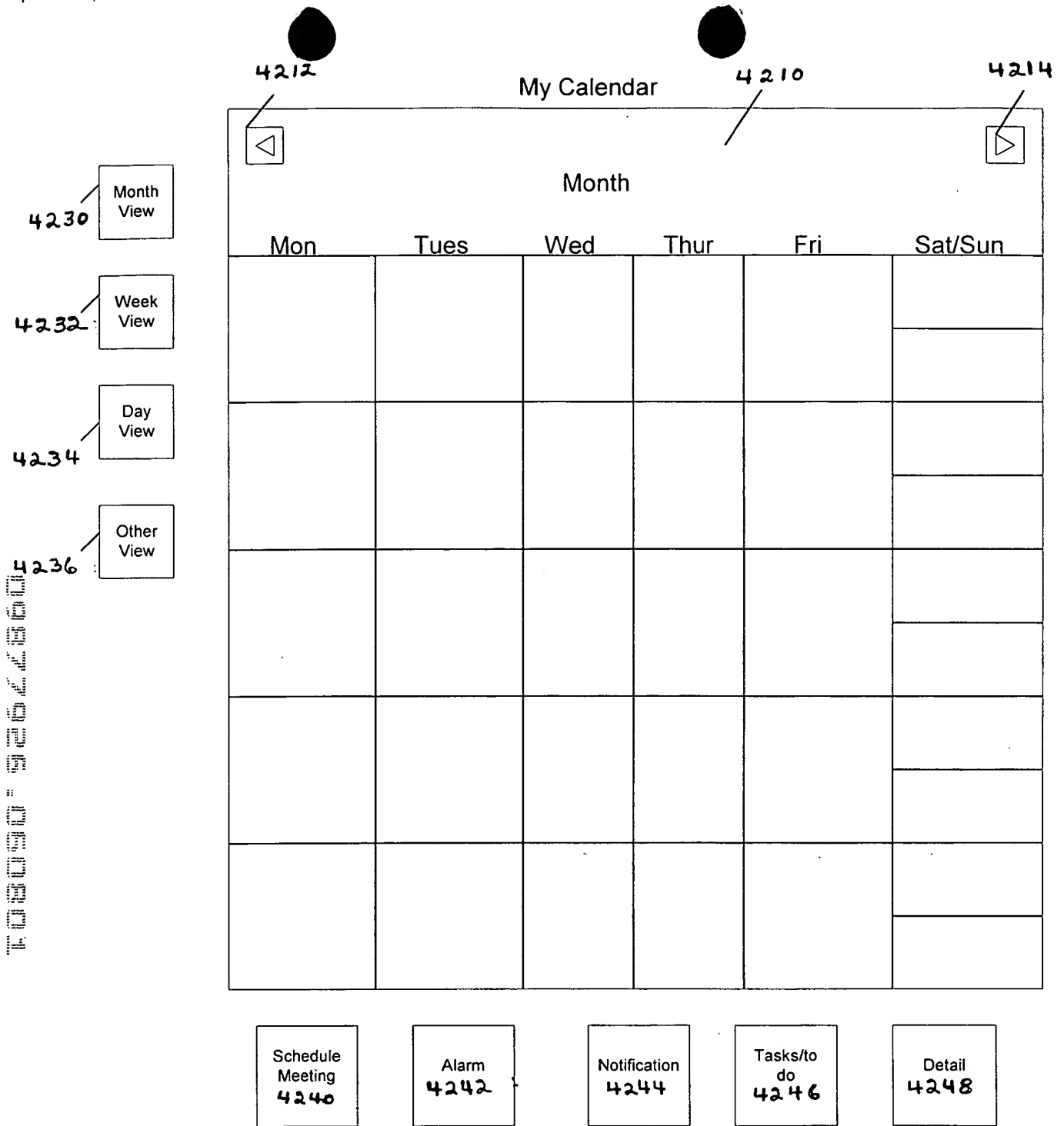


FIG. 42

4300

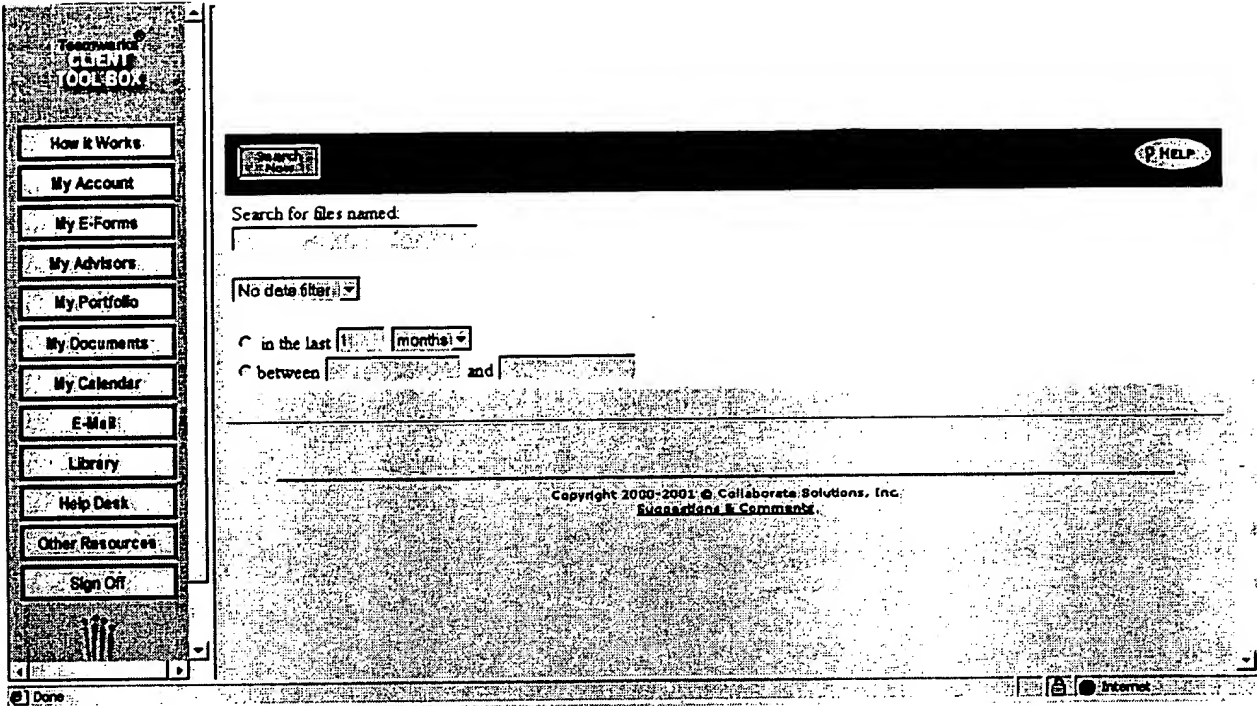


FIG. 43